PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	e for form 1449A/PTO			Complete if Known				
				Application Number	10/796,283	-		
	NFORMATION DIS	SCLOSI	JRE	Filing Date	March 10, 2004			
	STATEMENT BY A	APPLICA	ANT	First Named Inventor	Zhipeng ZHANG			
				Art Unit	2641			
	(use as many sheets as	s necessary)	l	Examiner Name	Unassigned			
Sheet	1	of	1	Attorney Docket Number	010755.53274US			

U.S. PATENT DOCUMENTS								
Examiner Cite Initials' No.1		Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
DA	AA	US- 5,860,062	01-12-1999	Kenichi Taniguchi et al.				
		US-						
		US-						
		US-						
		US-	<del> </del>					

FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# knawn)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τ°	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
DA	AB	SADAOKI FURUI ET AL., "Neural-Network-Based HMM Adaptation For Noisy Speech Recognition", Acoust. Sci. & Tech. 24, 2 (2003), pp. 69-75, XP009031581				
01	AC	ZHIPENG ZHANG ET AL., "Piecewise-Linear Transformation-Based HMM Adaptation For Noisy Speech", IEEE Workshop on Automatic Speech Recognition and Understanding, pp. 159-162, 2002				

			7					
Examiner Signature	1	14	M		Date Considered	2/12/	\dagger 8	
	7 7				_			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.